# BEFORE THE ARIZONA STATE

# **BOARD OF TECHNICAL REGISTRATION**



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Matthew Maxwell Certified Home Inspector Registration No. 60042

In the Matter of:

Respondent

Case No. HI20-001

CONSENT AGREEMENT and ORDER OF DISCIPLINE

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Matthew Maxwell ("Respondent"), holder of Registration No. 60042, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

### RECITALS

- 1. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
- 2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.

- 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.
- 4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.
- 5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records (as defined in A.R.S. § 41-158.18) upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
- 6. Respondent understands this Consent Agreement deals with Board case number H120-001 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
- 10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor

introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

- 11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
- Respondent agrees that the Board will adopt the following Findings of Fact,
   Conclusions of Law and Order.

# **FINDINGS OF FACT**

- 1. The Board is the duly constituted authority for the regulation and control of the practice of Home Inspectors in the State of Arizona.
- 2. Respondent is the holder of Arizona Certified Home Inspector, Registration No. 60042..
- On or about August 23, 2018, Respondent conducted a home inspection at 706
   Black Dr in Prescott, AZ.
- 4. On July 3, 2019, the Board received a complaint related to the Black Dr inspection, alleging Respondent failed to report on major issues with the home to include numerous cut floor joists, inadequate beams, columns and posts, improperly installed wase lines and an uncapped gas line in the crawl space.
- 5. On October 23, 2019, the Board's Enforcement Advisory committee ("EAC") convened to review the Black Dr. complaint against Respondent. After reviewing the evidence, the committee determined Respondent failed to conduct a home inspection in accordance with the Standards of Professional Practice for Arizona Home Inspectors ("S.O.P.P.") and found that:
  - A. Inspection fee is not contained in the Inspection agreement (S.O.P.P. #2.2).

В.	Name and Registration number of the inspector is not contained in the agreement
(S.O.P	P. #2.2).
C.	Firm address is not contained in the agreement (S.O.P.P. #2.2).
D.	Proper reference, that the inspection is being conducted in accordance with the Arizona
Standa	rds of Professional Practice (S.O.P.P. #2.2).
E.	Failed to observe and report on the type and condition of the exterior wall structure
(S.O.P	P. #4.2).
F.	Failed to describe the type and condition of roof and ceiling structure (S.O.P.P. #4.2).
G.	Failed to describe the methods used to inspect the attic and crawl space (S.O.P.P. #4.2).
H.	Failed to list observation method used to examine crawl space (S.O.P.P. #4.2)
I.	Failed to describe the condition of the plumbing supply and waste line supports (S.O.P.P.
#7.1)	
J.	Failed to observe and report on the condition of all fixtures and faucets (S.O.P.P. #7.1)
K.	Failed to describe the condition of supply system functional flow (S.O.P.P. #7.1)
L.	Failed to describe the condition of the plumbing waste system functional drainage
(S.O.P	.P. #7.1).
M.	Failed to describe the presence and condition of the water heater automatic safety controls
(S.O.P	.P. #7.1).
N.	Failed to describe the condition of the water heater flues and vents (S.O.P.P. #12.1).
Ο.	Failed to describe the condition of the fuel distribution piping and supports (S.O.P.P.
#9.1).	
P.	Report fails to describe the condition of the electrical system overcurrent protection
device	s (S.O.P.P. #8.1)
Q.	Failed to describe the condition of the heating & cooling system operating controls
(S.O.P	P. #9.1).
R.	Failed to describe the condition of the heating and cooling system automatic safety
contro	ls (S.O.P.P. #9.1).

- S. Failed to describe the condition of the heating system chimneys, flues and vents (S.O.P.P. #9.1)
- T. Failed to describe the condition of the heating & cooling system distribution system (ductwork / registers) (S.O.P.P. #9.1).
- U. Failed to describe the presence of a heating and cooling source provided in each room (S.O.P.P. #10.1).
  - V. Failed to describe the type of energy source for the cooling system (S.O.P.P. #10.2).
  - W. Failed to report on type and condition of vapor retarder (S.O.P.P. #12.2).
  - X. Failed to report on crawl space ventilation (S.O.P.P. #12.1).

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### **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
- 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301.0.1, in that Respondent failed to conduct a home inspection in accordance with the Standards of Professional Practice for Arizona Home Inspectors while conducting a home inspection at 706 Black Dr. in Prescott, Arizona.

## **ORDER**

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

- 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.
- 2. STAYED SUSPENSION AND PROBATION. Respondent's registration as a Certified Home Inspector, No. 60042, shall be suspended for Six (6) months; however, the suspension is stayed for as long as Respondent remains in compliance with this Order. During the stay of suspension, Respondent's registration as a Certified Home Inspector is placed on probation for Six (6) months. If Respondent is non-compliant with any terms of this Order during the Six (6) month stayed suspension and probation period, the stay of the suspension shall be lifted and Respondent's registration as a Certified Home Inspector shall be automatically suspended without a formal hearing, and remain suspended until Respondent is compliant with all

terms of this Order.

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3. PEER REVIEW. Within Fourteen (14) days of the effective date of this Consent Agreement, and before Respondent conducts any fee paid home inspections, Respondent shall accompany a supervising Certified Home Inspector ("Peer Reviewer") for one Home Inspection, and perform the inspection at the same time and location as the Peer Reviewer. Respondent shall write a home inspection report and submit a written report to the peer Reviewer for review. The Respondent may select his Peer Reviewer, who shall be in good standing with the Board and shall not have received any disciplinary action from the Board within the last 3-years. The Peer Reviewer shall have been continuously certified by the Board as a Home Inspector for at least Five (5) years and shall have conducted at least two hundred and fifty (250) home inspections in the State of Arizona.

The Respondent shall cause the Peer Reviewer to sign an Affidavit and Agreement to Conduct Peer Review with the Board affirming that the Peer Reviewer has net the Peer Review selection criteria prior to conducting any Peer Reviews.

At the conclusion of each peer reviewed home inspection, Respondent will submit his work product, specifically a Home Inspection Report, to the Peer Reviewer who will review and make all corrections to the Respondent's Home Inspection Report necessary for the report to meet the Standards of Professional Practice for Arizona Home Inspectors. Respondent shall not perform any home inspections or provide any client with a Home Inspection Report for a fee, until all Peer Reviews are completed. Respondent shall ensure that the Peer Reviewer provides a written report to the Board after each peer reviewed home inspection, detailing any deficiencies in the Respondent's practice, and certifying that the deficiencies have been explained and corrected, in so far as the peer reviewed home inspection is concerned. Respondent shall retain the peer Reviewer at his own expense.

4. ADMINISTRATIVE PENALTY. Within six (6) months from the effective date of this Consent Agreement, Respondent shall pay an administrative penalty of One Thousand Five Hundred Dollars (\$1,500.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration.

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- 5. COST OF INVESTIGATION. Within Ninety (90) days from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Five Hundred Fifty Dollars (\$550.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 6. OBEY ALL LAWS. During the probationary period, Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Home Inspectors in the State of Arizona. The Board shall consider any violation of this paragraph to be a separate violation of the rules and statutes governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.
- 7. RENEWAL OF REGISTRATION. Respondent shall timely renew his Arizona registration as a Home Inspector, and timely pay all required registration fees.
- 8. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
- 9. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- 10. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this 25TH day of FEBRUARY 2020, 2019.

Jason E. Foose, R.L.S., Chairman

Arizona State Board of Technical Registration

1 11	Consent Agreement and Order, No. H120-001 accepted this 24 day of Feb., 2010
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1	Matthew Maxwell, Respondent
5. 6	ORIGINAL filed this 27 day of
5 9	Arizona State Board of Technical Registration 1110 W. Washington, Suite 240 Phoenix, AZ 85007
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13	COPY of the foregoing mailed via Certified Mail
1-4	No. <u>1214 9301 9434 4600 0473 91</u> and First Class mail this <u>27</u> day of FERUMAY, 2019, to:
15	Matthew Maxwell
16	Silver Hammer Inspections 2522 Shadow Valley Ranch Rd
17	Prescott, AZ 86305
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19	
20	By
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