BEFORE THE ARIZONA STATE BOARD OF TECHNICAL REGISTRATION

In the Matter of:

Robert Phillips Registered Land Surveyor Registration No. 45495 Case No.: P22-039

CONSENT AGREEMENT and ORDER OF DISCIPLINE

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Respondent

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 <u>et seq.</u>, and A.A.C. R4-30-120(G), the undersigned party, Robert Phillips ("Respondent"), holder of Registration No. 45495, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

1. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.

2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.

3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.

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4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.

5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records (as defined in A.R.S. § 41-158.18) upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.

6. Respondent understands this Consent Agreement deals with Board case number P22-039 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.

7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.

8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.

9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.

10. This Consent Agreement is subject to the approval of the Board and is
effective only when accepted by the Board and signed on behalf of the Board. If the
Board does not accept this Consent Agreement, the Board retains its authority to hold a

formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.

12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.

13. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. The Board is the duly constituted authority for the regulation and control of the practice of Land Surveying in the State of Arizona.

Respondent is the holder of Registered Land Surveyor Registration No.
 45495.

3. On February 15, 2021, Respondent conducted a site visit of Alleger's property in Apache County, Arizona after agreeing to conduct a boundary survey.

4. On February 15, 2021, Respondent accepted a \$600 payment, half of what the total agreed upon amount would be for the completed survey.

S. On February 25, 2021, May 31, 2021 and September 2, 2021, Alleger sent
 text messages to Respondent, essentially asking for survey related information.
 Respondent only responded with answers regarding either being involved in an unrelated
 project or on a fishing trip in Alaska with his grandson.

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6. On September 17, 2021, more than nine months after Respondent accepted

the \$600 payment, Alleger filed a Board complaint after Respondent took no action to complete the boundary survey for which he was compensated.

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7. Based largely upon the evidence provided by Alleger demonstrating Respondent's lack of response to Alleger and failure to deliver a completed survey, it is evident that Respondent abandoned the project.

CONCLUSIONS OF LAW

The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
 The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(2), in that Respondent engaged in gross negligence by accepting \$600 from Alleger and taking no action to complete the boundary survey for which he was compensated.

<u>ORDER</u>

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues
the following Order:

15 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of
16 Reprimand.

17 2. STAYED SUSPENSION AND PROBATION. Respondent's registration as 18 Land Surveyor, No. 45495 shall be suspended for (six months); however, the suspension 19 is stayed for as long as Respondent remains in compliance with this Order. During the 20 stay of suspension, Respondent's registration as a Land Surveyor is placed on probation 21 for six months. If Respondent is non-compliant with any terms of this Order during the 22 six months stayed suspension and probation period, the stay of the suspension shall be 23 lifted and Respondent's registration as a Land Surveyor shall be automatically suspended 24 without a formal hearing, and remain suspended until Respondent is compliant with all 25 terms of this Order.

3. ADMINISTRATIVE PENALTY. Within ninety (90) days from the effective
date of this Consent Agreement, Respondent shall pay an administrative penalty of One
Thousand Dollars (\$1,000) by certified check or money order made payable to the State

of Arizona Board of Technical Registration.

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COST OF INVESTIGATION. Within thirty (30) days from the effective date 4. of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Two Hundred Sixty-Seven Dollars (\$267) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).

5. PROFESSIONAL ETHICS CLASS. Within Ninety (90) days of the effective date of this Consent Agreement, Respondent shall provide proof to the Board that he has successfully completed a class in professional ethics.

10 6. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Land Surveying in the State of Arizona. 12 The Board shall consider any violation of this paragraph to be a separate violation of the 13 rules and statues governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of 14 A.R.S. § 32-150. 15

7. **RENEWAL OF REGISTRATION. Respondent and Respondent Firm shall** timely renew their Arizona registration as a Registered Land Surveyor and a Land Survey Firm, and timely pay all required registration fees.

8. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.

9. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.

24 10. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity 25 26 to be heard, may revoke, suspend or take other disciplinary actions against the 27 registration. The issue at such a hearing will be limited solely to whether this Order has 28 been violated.

ACCEPTED and ORDERED this _____ day of _____ 1 , 2022. 2 3 Jack Gilmore, L.A., Chairman 4 Arizona State Board of 5 **Technical Registration** 6 7 Consent Agreement and Order, No. P22-039 accepted this 12 day of 8 JANUARY, 2022. 9 10 Robert Phillips, Respondent 11 ORIGINAL filed this 27th day of 12 January, 2022, with: 13 Arizona State Board of Technical Registration 14 1110 W. Washington, Suite 240 Phoenix, AZ 85007 15 16 COPY of the foregoing mailed via Certified Mail No. 9214 8901 9434 4600 0 864 9 jand 17 First Class mail this 27th day of January, 2022, to: 18 **Robert Phillips** 19 4173 E. Meadow Creek Wy. 20 San Tan Valley, AZ 85140 21 22 23 By 24 On Rolling BTR Investigator 25 26 27 28 6