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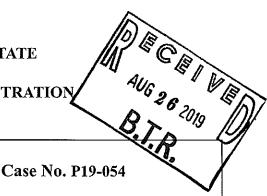
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BEFORE THE ARIZONA STATE

BOARD OF TECHNICAL REGISTRATION



In the Matter of:

Thomas Haws Engineer Registration No. 30503

Respondent

CONSENT AGREEMENT and ORDER OF DISCIPLINE

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Thomas Haws ("Respondent"), holder of Registration No. 30503, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

- 1. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
- 2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.
 - 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.

- 4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.
- 5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records (as defined in A.R.S. § 41-158.18) upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
- 6. Respondent understands this Consent Agreement deals with Board case number P19-054 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
- 10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject

this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

- 11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
- 13. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of Land Surveying in the State of Arizona.
- 2. Respondent is the holder of Arizona Professional Engineer, Registration No. 30503.
- 3. On August 8, 2014, Respondent performed, signed and sealed a minor land division survey in Apache Junction, AZ.
- 4. On April 16, 2019, the Board received a complaint alleging that the Respondent engaged in the unlicensed practice of Land Surveying on a non-incidental project for which he was not qualified to perform. It was further alleged that Respondent's survey for the Minor Land Division project on Cortez Rd. in Apache Junction, Arizona demonstrated a lack appropriate technical knowledge and skill and failed to meet Arizona Boundary Survey Minimum Standards in that Respondent failed to monument boundary corners and identify accepted monuments as specified in ABSMS #8, and failed to show basis of bearing, statement of supervision, a legend and reference documents on his survey as specified in ABSMS #11. It was also alleged that Respondent recorded his survey without obtaining approval by the appropriate Pinal County agency.
 - 5. On July 8, 2019 an Enforcement Advisory Committee convened to review the

100 complaint against the Respondent. During the meeting, the Committee found that while 2 Respondent did not intentionally engage in the practice of land surveying, Respondent did 3 practice outside his expertise and registered category. The Committee also found that Respondent does not possess the expertise or skill to practice or engage in any survey. The 4 Committee found that the survey was extremely technically deficient and did not adhere to the 5 6 Arizona Boundary Survey Minimum Standards. The Committee found further that the survey was 7 not approved by the Pinal County Planning and Development Services department. The Committee stated that there are two issues that exist with the survey, the first is that the survey 8 ij fell well below the standards and that it was sealed by the Respondent as an Engineer. The second issue is that the survey was recorded, which turns a minor land division into a larger public issue, 10 3254 A in that the survey is no longer between the two parties, but that it affects the surrounding 13 properties. Respondent admitted during the interview that he is not a land surveyor, that he did practice outside his expertise and Respondent stated that he will not engage in the practice of land 1.2

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surveying in the future.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
- 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(4), in that Respondent failed to comply with state, municipal, and county laws, codes, ordinances and regulations pertaining to the practice of land surveying by not gaining the approval Pinal County Planning and Development Services department for the Minor Land Division on Cortez Rd. located in Apache Junction, Arizona.
- 3. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(6), in that Respondent failed to apply the appropriate technical knowledge and skill in the practice of land surveying, a Board regulated profession.
- 4. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(13), in that Respondent

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27 28 failed to conduct a land boundary survey in accordance with the Arizona Boundary Survey Minimum Standards for the Minor Land Division on Cortez Rd. located in Apache Junction, Arizona.

5. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(17), in that Respondent accepted a professional engagement outside of his professional registered category while lacking the qualifications, technical knowledge or experience to perform the Minor Land Division on Cortez Rd. locate in Apache Junction, Arizona.

ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

- 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.
- 2. ASSURANCE OF DISCONTINUANCE. Respondent shall not practice, offer to practice, or by any implication hold itself out as qualified to practice Land Surveying as defined by A.R.S. § 32-101(B)(20) until such time as the Respondent is registered by the Board and is in full compliance with the Board's Statutes and Rules.
- 3. STAYED SUSPENSION AND PROBATION. Respondent's registration as a Professional Engineer, No. 30503, shall be suspended for twelve (12) months; however, the suspension is stayed for as long as Respondent remains in compliance with this Order. During the stay of suspension, Respondent's registration as a Professional Engineer is placed on probation for twelve (12) months. If Respondent is non-compliant with any terms of this Order during the twelve (12) months stayed suspension and probation period, the stay of the suspension shall be lifted and Respondent's registration as a Professional Engineer shall be automatically suspended without a formal hearing, and remain suspended until Respondent is compliant with all terms of this Order.
- 4. ADMINISTRATIVE PENALTY. Within twelve (12) months from the effective date of this Consent Agreement, Respondent shall pay an administrative penalty of Two Thousand Dollars (\$2000.00). Respondent shall make quarterly payments in the amount of Five

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Hundred Dollars (\$500.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration.

- 5. COST OF INVESTIGATION. Within thirty (30) days from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Three Hundred Thirty-Eight Dollars (\$338.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 6. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Engineering in the State of Arizona. The Board shall consider any violation of this paragraph to be a separate violation of the rules and statutes governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.
- 7. RENEWAL OF REGISTRATION. Respondent shall timely renew his Arizona registration as an Engineer, and timely pay all required registration fees.
- 8. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
- 9. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- 10. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this 27TH day of AUGUST . 2019.

Jason E. Foose, R.L.S., Chairman

Arizona State Board of Technical Registration

20000	Consent Agreement and Order, No. P19-054 accepted this 26 day of August, 2019.
2	Tom Howy
3	Thomas Haws, Respondent
4	ORIGINAL filed this 28 th day of
5	<u>August</u> , 2019, with:
6	
7	Arizona State Board of Technical Registration
8	1110 W. Washington, Suite 240
9	Phoenix, AZ 85007
10	COPY of the foregoing mailed via Certified Mail No. 9214 8901 9454 4600 0604 91 and First Class mail this 28 th day of August , 2019, to:
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13	Thomas Haws
14	1094 North Martingale Rd Gilbert, AZ 85243
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18	By:
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