1110 W. Washington Street, Suite 240, Phoenix, Arizona 85007 (602)364-4930 FAX: (602)364-4931 https://btr.az.gov/

CONTROLLING PERSON APPLICATION FORM

APPLICATION & INITIAL REGISTRATION FEE \$ 260.00

Application fee includes the first three-years of registration.

Please type or print $\underline{\text{legibly}}$ in ink

Please make checks payable to "Arizona Board of Technical Registration"

	First	Middle
Date of Birth:	Social Security # (manda	tory)
Citizenship or Legal Reside	ence:	
Residence Address:		Apt/Suite/Unit
		Tel.#
Mailing Address:		
Business Name:		
Business Address:		Suite
•	2. BACKGROUND/DIS	SCIPLINARY a detailed explanatory statement (use additional sheet
necessary). 1. Have you ever been the		a detailed explanatory statement (use additional sheet uding license denial, or do Yes N_0
 Have you ever been the you now have such actions. Have you ever been con Note: Alcohol and drug DUI, DWI, OWI, etc.) and the such actions. 	e following questions is "yes," please attach	a detailed explanatory statement (use additional sheet uding license denial, or do Yes No on (including in Arizona)? a minor traffic violation? Yes No ng in an automobile (i.e., Set aside" or "expunged"

3. CLEARANCE CARD DOCUMENTATION

Attach a copy of the front and back of an active clearance card issued to you by the Arizona Department of Public Safety.

BTR Clearance Card

Other Agency Clearance Card

4. CERTIFICATION/RELEASE

I certify the information contained in this application to be accurate, true and complete to the best of my knowledge.

Making a false unsworn statement is a misdemeanor punishable by fine or imprisonment. A.R.S. 13-2704.

Applicant's Signature	Date	

Pursuant to section 41-1093.01, Arizona Revised Statutes, an agency shall limit all occupational regulation to regulations that are demonstrated to be necessary to specifically fulfill a public health, safety or welfare concern. Pursuant to section s 41-1093.02 and 41-1093.03, Arizona Revised Statutes, you have the right to petition this agency to repeal or modify the occupational regulation or bring an action in court of general jurisdiction to challenge the occupational regulation and to ensure compliance with section 41-1093.01, Arizona Revised Statues.

INSTRUCTIONS FOR CONTROLLING PERSON CERTIFICATION

Please, fill out the form out completely.

Sections 1, 2, 3 and 4 of the application must be completed by the applicant.

This form should be used for a controlling person certificate. Please notify the Board of any change of information contained in this form within 30 days of the date of any change. There is no fee required for changes to a current certification.

PLEASE NOTE:

- An alarm business may not operate until the alarm business and each controlling person submits applications and receives certification from the Board. (A.R.S. § 122.05.C)
- Each controlling person shall provide proof to the Board of having a valid Fingerprint Clearance Card. (A.R.S. § 122.05.C)
- A controlling person certification card becomes the personal property of the person to whom it is issued. The person shall retain possession of the card.
- A controlling person must submit a renewal application with clearance card confirmation every 3 years (A.R.S. § 122.05.C)
- When submitting an application with a 2inch by 2inch photograph, make sure it is passport quality. No hats or head coverings (unless worn daily for religious purposes). No sunglasses. Clear lens glasses are acceptable if eyes are visible and there is no glare.

In answering questions 1 and 2 in Section 2, Background/Disciplinary on the application, please note the following:

- If you answer "yes" to <u>either</u> of the questions, you must provide a detailed written explanation regarding the facts and circumstances surrounding the incident. If you answer "yes" to question one (1) provide official documentation supporting your explanation (Board disciplinary orders, Board complaint, Order of Denial of registration or license, etc.).
- You must answer "yes" to question 2 even if you pled "no contest" or "nolo contendere" to the felony or misdemeanor charges, and <u>even if</u> the conviction has been set aside or expunged regardless of what you have been advised in the past.
- False or misleading answers regarding any information provided to the Board of Technical Registration as part of your request for registration or certification may result in denial of your application.

Applicant signature	Date

CONTROLLING PERSON APPLICATION CHECKLIST

Please ensure you have all items before submitting your application. If any items are missing, your application will be returned

Applica	nt Name:
C	Completed application, all questions answered, signed and dated.
А	a signed check in amount of \$260.00 made payable to the "Arizona Board of Technical Registration."
А	copy of Applicant's clearance card, front and back.
C	One current 2-inch by 2-inch passport quality photograph of the Controlling Person applicant. No
h	ats or head coverings (unless worn daily for religious purposes). No sunglasses. Clear lens glasses
а	re acceptable if eyes are visible and there is no glare.
C	Completed, signed and dated "Arizona Statement of Citizenship and Alien Status for State Public
В	Benefits," along with a copy of supporting evidence as listed on pages 3-5 of the document.
C	Copy of a government issued photographic identification, pursuant to A.R.S. § 41-1080. (See the
e	enclosed document which lists acceptable forms of ID headed by "Attention" dated 8/9/17).

Signed and dated Instructions for Controlling Person Application

ATTENTION

A.R.S. § 41-1080, (Licensing and fingerprint clearance card eligibility; authorized presence; documentation; applicability; definitions) requires applicants for state licensure and licensure renewal to submit photographic identification with their applications to all state licensing boards and commissions.

This law does not apply to applicants who are citizens of foreign countries and do not need to reside in Arizona to use a state license. The law also does not apply to applicants who reside in other states or jurisdictions and hold a similar professional license in that state or jurisdiction and are not planning to reside in Arizona.

The Arizona State Board of Technical Registration understands that you may have already submitted documentation with your application for registration to the Board, verifying your lawful presence in the United States. However, unless you are exempt from the requirements of A.R.S. § 41-1080 as listed above, or you have already submitted a photograph in support of an application filed after March 2008; we request that you send us the required photograph to complete your registration/renewal process.

Please submit to the Board a "government issued document that contains (your) photograph," as soon as possible, so as not to delay the issuance or renewal of your registration.

The government issued documents you may submit include a copy of the following:

- An Arizona driver's license, issued after 1996, or an Arizona nonoperating identification license.
- A driver's license issued by a state that verifies lawful presence in the United States.
- A United States passport.
- A foreign passport with a United States visa.
- An I-94 form with a photograph.
- A United States citizenship and immigrations services employment authorization document or refugee travel document.
- A United States certificate of naturalization.
- A United States certificate of citizenship.

Please contact the Board if you have any questions or concerns. Thank you for your consideration.

Executive Order 2021-02

On February 12, 2021, Arizona Governor, Douglas A. Ducey, signed Arizona Executive order 2021-02 requiring all agencies that issue an occupational or professional license to "track veteran and military spouse status of applicants". All state agencies shall report the information to the Governor's office on an annual basis.

It is requested that you provide the following information in order for the Arizona Board of Technical Registration to comply with Executive Order 2021-02:

Please be advised that if you believe this information confidential, you are not required to provide the requested information.

Are y	ou active duty military?
	yes
	no
	I do not wish to answer
Are y	ou a veteran?
	yes
	no
	I do not wish to answer
Are y	ou the spouse of an active duty military individual?
	yes
	no
	I do not wish to answer
Are yo	ou the spouse of a veteran?
	yes
	no
	I do not wish to answer

Form 1: LONG FORM APPLICANT STATEMENT (revised) REQUIRING SUBMISSION OF DOCUMENTATION OF STATUS

ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS

Arizona State Board of Technical Registration Professional License and Commercial License

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 62, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), non-immigrants, and certain aliens paroled into the United States are eligible to receive state or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes §1-501 requires, in general, that a person applying for a license must submit documentation to the licensing agency that satisfactorily demonstrates that the applicant is lawfully present in the United States.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III. Submit this completed form and copy of one or more documents that evidence your citizenship or alien status with your application for license or renewal.

SECTION I - APPLIC	CANT INFORMATION	
APPLICANT'S NAME (Print or type)		DATE
TYPE OF APPLICATION (check one):	_ INITIAL APPLICATION	RENEWAL
TYPE OF LICENSE		
SECTION II - CITIZENSHIP OR NATI	ONAL STATUS DECLARATIO	N
Directions: Attach a legible copy of the front and the badocument that demonstrates U.S. citizenship or nationali		
A. Are you a citizen or national of the United States? (ch	neck one)	No
B. If the answer is "Yes," where were you born? List city City State (or equivalent)		
If you are a citizen or national of the United States, go to States, please complete Sections III and IV.	Section IV. If you are <u>not</u> a ci	tizen or national of the United
SECTION III - ALIEN ST	ATUS DECLARATION	
Directions: To be completed by applicants who are not of status by checking the appropriate box. Attach a legible attached List B or other document that evidences your st	copy of the front, and back (if a	any), of a document from the
"Qualified Alien" Status [8 U.S.C. §§1621 (a)(1), -164 ☐ 1. An alien lawfully admitted for permanent reside		Nationality Act (INA).

2. An alien who is granted asylum under Section 208 of the INA.

AP.	r LN	CAINT S SIGNATURE TODAT S DATE
<u>Λ</u> D	DI 14	CANT'S SIGNATURE TODAY'S DATE
		icants must complete this section. I declare under penalty of perjury under the laws of the state of Arizona that wers I have given are true and correct to the best of my knowledge.
		SECTION IV - DECLARATION
PLE	ASE	A person not described in categories 1-13 who is otherwise lawfully present in the United States. NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons I into this category ineligible for licensure. See 8 U.S.C. §1621(a).
		rise Lawfully Present (A.R.S. §1-501)
	13	A foreign national not physically present in the United States.
	12	A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in the Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. §1901 et seq];
Oth		Persons (8 U.S.C. §1621(c)(2)(A) and (C)) A non-immigrant whose visa for entry is related to employment in the United States, or
Alie		aroled into the United States For Less Than One Year [8 U.S.C. §1621(a)(3)]. An alien paroled into the United States for <u>less than one year</u> under Section 212(d)(5) of the INA.
noN		migrant Status (8 U.S.C. §1621(a)(2)) A non-immigrant under the Immigration and Nationality Act [8 U.S.C. §1101 <i>et seq.</i>] Non-immigrants are persons who have temporary status for a specific purpose. See 8 U.S.C. §1101(a)(15).
	8.	An alien who is, or whose child or child's parent is, a "battered alien" or an alien subjected to extreme cruelty in the United States.
	7.	An alien who is a Cuban and Haitian entrant (as defined in section 501(e) of the Refugee Education Assistance Act of 1980).
	6.	An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
	5.	An alien whose deportation is being withheld under Section 243(h) of the INA.
	4.	An alien paroled into the United States for <u>at least one year</u> under Section 212(d)(5) of the INA.
	3.	A refugee admitted to the United States under Section 207 of the INA.

Attachment: Lists A and B Evidence of U.S. Citizenship, U.S. National Status, or Alien Status

03/28/08

ARIZONA STATEMENT OF CITIZENSHIP & ALIEN STATUS

All applicants must answer questions on the application regarding citizenship. A Xeroxed copy of a document that shows evidence of your citizenship or alien status <u>MUST BE</u> submitted with your application for licensure or renewal. See List A or List B.

LIST A

Evidence showing U.S. citizen or U.S. national status includes the following:

*If any of the following documents do not contain a photograph of the individual, the individual shall also present a government issued document that contains a photograph of the individual.

a. Primary Evidence:

- (I) An AZ driver's license issued after 1996 or an AZ non-operating identification license
- (2) A birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction); *
- (3) A birth certificate or delayed birth certificate issued in any state, territory or possession of the U.S.; *
- (4) A signed United States passport; current or expired;
- (5) Report of birth abroad of a U.S. citizen (FS-240) (issued by the Department of State to U.S. citizens); A U.S. certificate of birth abroad *
- (6) Certificate of Birth (FS-545) (issued by a foreign service post) or Certification of Report of Birth (DS-1350), copies of which are available from the Department of State; *
- (7) Form N-550 or N-570, Certificate of Naturalization (issued by the Service through a Federal or State court, or through administrative naturalization after December 1990 to individuals who are individually naturalized; the N-570 is a replacement certificate issued when the N-550 has been lost or mutilated or the individual's name has changed);
- (8) Form N-561, Certificate of Citizenship;
- (9) Form I-197, United States Citizen Identification Card (issued by the Service until April 7, 1983 to U.S. citizens living near the Canadian or Mexican border who needed it for frequent border crossings) (formerly Form I-179, last issued in February 1974);
- (10) Form I-873 (or prior versions), Northern Marianas Card (issued by the Service to a collectively naturalized U.S. citizen who was born in the Northern Mariana Islands before November 3, 1986);
- (11) Statement provided by a U.S. consular official certifying that the individual is a U.S. citizen (given to an individual born outside the United states who derives citizenship through a parent but does not have a FS-240, FS-545, or DS-1350); or *
- (12))Form I-872 (or prior versions), American Indian Card with a classification code "KIC" and a statement on the back identifying the bearer as a U.S. citizen (issued by the Service to U.S. citizen members of the Texas Band of Kickapoo living near the U.S./Mexican border).
- (13) A tribal certificate of Indian blood.*
- (14) A tribal or bureau of Indian affairs affidavit of birth*

NOTE: SOCIAL SECURITY CARDS ARE NOT ACCEPTABLE DOCUMENTATION.

b. <u>Secondary Evidence</u>

If the applicant cannot present one of the documents listed in (a) above, the following may be relied upon to establish U.S. citizenship or U.S. national status;

- (1) Religious record recorded in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction) within three 3 months after birth showing that the birth occurred in such jurisdiction and the date of birth or the individual's age at the time the record was made;
- (2) Evidence of civil service employment by the U.S. government before June 1, 1976;
- (3) Early school records (preferably from the first school) showing the date of admission to the school, the applicant's date and U.S. place of birth, and the name(s) and place(s) of birth of the applicant's parent(s);
- (4) Census record showing name, U.S. nationality or a U.S. place of birth, and applicant's date of birth or age;
- (5) Adoption finalization papers showing the applicant's name and place of birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917, American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Marian Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction), or, when the adoption is not finalized and the state or other U.S. jurisdiction listed above will not release a birth certificate prior to final adoption, a statement from a state or jurisdiction approved adoption agency showing the applicant's name and place of birth in one of such jurisdictions, and stating that the source of the information is an original birth certificate;
- (6) Any other document that establishes a U.S. place of birth or otherwise indicates U.S. nationality (e.g., a contemporaneous hospital record of birth in that hospital in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such ajurisdiction);

c. Collective Naturalization

If the applicant cannot present one of the documents listed in (a) or (b) above, the following will establish U.S. citizenship for collectively naturalized individuals:

Puerto Rico:

- Evidence of birth in Puerto Rico on or after April 11, 1899 and the applicant's statement that he or she was residing in the U.S., a U.S. possession or Puerto Rico on January 13, 1941; or
- Evidence that the applicant was a Puerto Rican citizen and the applicant's statement that he or she was residing in Puerto Rico on March I, 1917 and that he or she did not take an oath of allegiance to Spain.

U.S. Virgin Islands:

- Evidence of birth in the U.S. Virgin Islands, and the applicant's statement of residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927;
- The applicant's statement indicating resident in the U.S. Virgin Islands as a Danish citizen on January 17, 1917 and residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927, and that he or she did not make a declaration to maintain Danish citizenship; or
- Evidence of birth in the U.S. Virgin Islands and the applicant's statement indicating residence in the U.S., a U.S. possession or territory or the Canal Zone on June 28, 1932.

Northern Mariana Islands (NMI) (formerly part of the Trust Territory of the Pacific Islands (TTPI)):

- Evidence of birth in the NMI, TTPI citizenship and residence in the NMI, the U.S., or a U.S. territory or possession on November 3, 1986 (NMI local time) and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time);
- Evidence of TTPI citizenship, continuous residence in the NMI since before November 3, 1981 (NMI local time), voter registration prior to January 1, 1975 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time); or
- Evidence of continuous domicile in the NMI since before January 1, 1974 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4 1986 (NMI local time). Note: If a person entered the NMI as a nonimmigrant and lived in the NMI since January 1, 1974, this does not constitute continuous domicile and the individual is not a U.S. citizen

d. Derivative Citizenship

If the applicant cannot present one of the documents listed in a or b above, the following may be used to make determination of derivative U.S. citizenship:

Applicant born abroad to two U.S. citizen parents: Evidence of the U.S. citizenship of the parents and the relationship of the applicant to the parents, and evidence that at least one parent resided in the U.S. or an outlying passion prior to the applicant's birth

Applicant born abroad to a U.S. citizen parent and a U.S. non-citizen national parent: Evidence that one parent is a U.S. citizen and that the other is a U.S. non-citizen national, evidence of the relationship of the applicant to the U.S. citizen parent, and evidence that the U.S. citizen parent resided in the U.S., a U.S. possession, American Samoa or Swain's Island for a period of at least one year prior to the applicant's birth.

Applicant born out of wedlock abroad to a U.S. citizen mother: Evidence of the U.S. citizenship of the mother, evidence of the relationship to the applicant and, for births on or before December 24, 1952, evidence that the mother resided in the U.S. prior to the applicant's birth or, for births after December 24, 1952, evidence that the mother had resided, prior to the child's birth, in the U.S. or a U.S. possession for a period of one year.

Applicant born in the Canal Zone or the Republic of Panama:

- A birth certificate showing birth in the Canal Zone on or after February 26, 1904 and before October 1, 1979 and evidence that one parent was a U.S. citizen at the time of the applicant's birth; or
- A birth certificate showing birth in the Republic of Panama on or after February 26, 1904 and before October 1, 1979 and
 evidence that at least one parent was a U.S. citizen and employed by the U.S. government or the Panama Railroad
 Company or its successor in title.

In all other situations in which an applicant claims to have a U.S. citizen parent and an alien parent, or claims to fall within one of the above categories, but is unable to present the listed documentation:

- If the applicant is in the U.S., the applicant should contact the local U.S. Citizenship and Immigration Service office for determination of U.S. citizenship;
- If the applicant is outside the U.S., the applicant should contact the State Department for a U.S. citizenship determination.

e. Adoption of Foreign-Born Child by U.S. Citizen

- If the birth certificate shows a foreign place of birth and the applicant cannot be determined to be a naturalized citizen under any of the above criteria, obtain other evidence of U.S. citizenship;
- Because foreign-born adopted children do not automatically acquire U.S. citizenship by virtue of adoption by U.S. citizens, the applicant should contact the local U.S. Citizenship and Immigration Service office for a determination of U.S. citizenship, if the applicant provides no evidence of U.S. citizenship.

f. U.S. Citizenship By Marriage

A woman acquired U.S. citizenship through marriage to a U.S. citizen before September 22, 1922. Provide evidence of U.S. citizenship of the husband, and evidence showing the marriage occurred before September 22, 1922.

Note: If the husband was an alien at the time of the marriage, and became naturalized before September 22, 1922, the wife also acquired naturalized citizenship. If the marriage terminated, the wife maintained her U.S. citizenship if she was residing in the U.S. at that time and continued to reside in the U.S.

- g. A U.S. certificate of birth abroad*
- h. A foreign passport with a U.S. Visa*
- i. An 1-94 form with a photograph
- j. A U.S. citizenship and immigration services employment authorization document or refugee travel document*

LIST B

Qualified Aliens, Nonimmigrant, and aliens paroled into U.S. for less than one year.

a. "Qualified Aliens"

Evidence of "Qualified Alien" status includes the following:

Alien Lawfully admitted for Permanent Residence

- *Form I-SS 1 (Alien Registration Receipt Card, commonly known as a "green card"); or
- Unexpired Temporary I-SS1 stamp in foreign passport or on *I Form I-94.

Asylee

- *Form I-94 annotated with stamp showing grant of asylum under section 208 of the INA;
- *Form I-688B (Employment Authorization Card) annotated "274a.12 (a) (S)";
- *Form I-766 (Employment Authorization Document) annotated "AS";
- Grant letter from the Asylum Office of the U.S. Citizenship and immigration Service; or
- Order of an immigration judge granting asylum.

Refugee

- *Form I-94 annotated with stamp showing admission under § 207 of the INA;
- *Form I-688B (Employment Authorization Card) annotated "274a.12 (a) (3)"; or
- *Form I-766 (Employment Authorization Document) annotated "AS";

Alien Paroled Into the US. for at Least One Year

- *Form I-94 with stamp showing admission for at least one year under section 212(d) (S) of the INA. (Applicant cannot aggregate periods of admission for less than one year to meet the one-year requirement.

Alien Whose Deportation or Removal was withheld

- *Form I-688B (Employment Authorization Card) annotated "274a.12 (a) (10)";
- *Form I-766 (Employment Authorization Document) annotated "A1O"; or
- Order from an immigration judge showing deportation withheld under §243(h) of the INA as in effect prior to April 1, 1997, or removal withheld under §241 (b) (3) of the INA.

Alien Granted Conditional Entry

- *Form I-94 with stamp showing admission under §203 (a) (7) of the INA;
- *Form I-688B (Employment Authorization Card) annotated "274a.12 (a) (3)"; or
- *Form I-766 (Employment Authorization Document) annotated "A3".

Cubanmaitian Entrant

- *Form I-SS I (Alien Registration Receipt Card, commonly known as a "green Card") with the code CU6, CU7, or CH6.
- Unexpired temporary I-SSI stamp in foreign passport or on *Form I-94 with the Code CU6 or CU7; or
- *Form I-94 with stamp showing parole as "Cuba/Haitian Entrant" under Section 212 (d) (S) of the INA.

Alien who has been Declared a Battered Alien or Alien Subjected to Extreme Cruelty

- U.S. Citizenship and Immigration Service petition and supporting documentation

b. Nonimmigrant

Evidence of "Nonimmigrant" status includes the following:

- *Form I-94 with stamp showing authorized admission as nonimmigrant
- c. Alien Paroled into U.S. for less than One year
 - *Form I-94 with stamp showing admission for less than one year under section 212 (d) (S) of the INA
- d. A foreign passport with a U.S. visa
- e. An I-94 form with a photograph.
- f. <u>A U.S. citizenship and immigration</u> services employment authorization document or refugee <u>travel</u> document.